



25-11-04

2822

PATENT  
Docket No. 150.01110101

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Uhlenbrock et al.	)	Group Art Unit:	2822
	)		
Serial No.: 10/032,357	)	Examiner:	Maria Guerrero
Confirmation No.: 4965	)		
	)		
Filed: December 21, 2001	)		
	)		
For: METHODS FOR PLANARIZATION OF GROUP VIII METAL- CONTAINING SURFACES USING OXIDIZING GASES	)		

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the continuing duty of candor and good faith that is to be demonstrated before the United States Patent and Trademark Office (USPTO), enclosed are copies of a Written Opinion and an International Search Report from the related PCT Application Number US02/40407. Also enclosed herewith are copies of documents which Applicant brings to the Examiner's attention as possibly being of interest in connection with the above-identified patent application. Per M.P.E.P. § 609, the information cited in the present Supplemental Information Disclosure Statement shall not be construed to be an admission that the information is, or is considered to be, material to patentability. Consideration of each of the documents listed on the attached 1449 form is respectfully requested. Pursuant to the provisions of MPEP §609, Applicant further requests that a copy of the 1449 form, marked as being considered and initialed by the Examiner, be returned with the next Official Communication.

Applicants also wish to bring the Examiner's attention to the following pending U.S. Applications, as well as any documents, Office Actions that may include rejections of similar claims, and any provisional U.S. patent applications referenced in the pending U.S. applications or in their file wrappers. A copy of each of the below-listed pending U.S. Patent Applications is provided herewith.

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**Supplemental Information Disclosure Statement**

Page 2 of 2

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**List of Pending Non-Published U.S. Patent Applications**

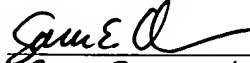
Applicant(s)	Application Number	Filing Date
Vaartstra	10/771,050	February 3, 2004

Since this Supplemental Information Disclosure Statement is submitted after the receipt of an Office Action in the above-identified patent application, please charge Deposit Account No. 13-4895 the fee of \$180 under 37 C.F.R. §§1.97(c) and 1.17(p). Please charge any additional fees or credit any overpayment to Deposit Account No. 13-4895.

The Examiner is invited to contact Applicants' Representatives at the below-listed telephone number, if they can be of any assistance during prosecution of the present application.

**CERTIFICATE UNDER 37 C.F.R. 1.10:**

The undersigned hereby certifies that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated below and is addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
SARA E. OLSON

"Express Mail" mailing label number:  
EV 201890935 US  
Date of Deposit: May 10, 2004

Date

May 10, 2004

Respectfully submitted for  
**Uhlenbrock et al.**

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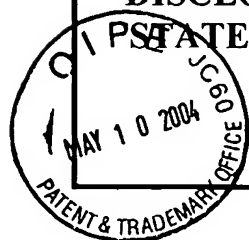
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<b>INFORMATION DISCLOSURE STATEMENT</b>	Atty. Docket No.: 150.01110101	Serial No.: 10/032,357
	Applicant(s): Uhlenbrock et al.	Confirmation No.: 4965
	Application Filing Date: December 21, 2001	Group: 2822
	Information Disclosure Statement mailed: May <u>10</u> , 2004	



## U.S. PATENT DOCUMENTS

Examiner Initial	Copy Enclosed	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	X	US2003/0119316 A1	06/26/03	Klein et al.			
	X	US 2003/0119319 A1	06/26/03	Sinha et al.			
	X	US 2003/0119426 A1	06/26/03	Sabde			
	X	6,730,592	05/04/04	Vaartstra			

## FOREIGN PATENT DOCUMENTS

Examiner Initial	Copy Enclosed	Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
	X	WO 03/059571	07/24/03	PCT				
	X	WO 03/060028	07/24/03	PCT				
	X	WO 03/056620	07/10/03	PCT				
	X	WO 03/060980	07/24/03	PCT				

## OTHER DOCUMENTS (Including Authors, Title, Date, Pertinent Papers, etc.)

Examiner Initial	Copy Enclosed	Document Description

EXAMINER	Date Considered
*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	